

SANCTIONS REGIME AGAINST DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA

❖ SOURCE

United Nations

❖ RESTRICTIVE MEASURES

United Nations

UN Security Council Resolution 1874 (2009)

extension of the embargo on arms and related materiel and financial and technical assistance related to military activities (para. 9); duty of vigilance regarding transfers of small arms and light weapons (para. 9); duty to inspect transports from DPR Korea (para. 11-12), authorization to seize and dispose of certain items (para. 14) and to report to the Committee (para. 15-16); ban on provision of certain bunkering services to DPRK vessels (para. 17); ban on certain financial services (para. 18 - 20); duty of vigilance regarding provision of specialized training or teaching (para. 28).

UN Security Council Resolution 1718 (2006)

embargo on arms and related materiel (para. 8, letter a (i) and (ii), letter b); ban on provision of certain services (para. 8, letter c); ban on export of luxury goods (para. 8, letter. a, para. 9 (iii)); travel ban (para. 8, letter e); freezing of funds and economic resources (para. 8, letter d)

European Union

Council Common Position 795/2006/CFSP

embargo on arms and related materiel; ban on exports of certain goods and technology listed by the UN; prohibition of procurement of arms, related materiel and other goods and

technology listed by the UN (art. 1, para. 1, letter a and b; art.1, para 3); ban on provision of certain services (art. 2, lit. a); ban on export of luxury goods (art. 2); restrictions on admission (art. 3, para. 1); freezing of funds and economic resources (art. 4, para. 1).

Amended by:

[Council Decision 2009/1002/CFSP](#)

modifies the additional embargo on certain goods and technology which could contribute to DPRK's nuclear-related, ballistic missile-related or other weapons of mass destruction-related programmes (art. 1); introduces new exemptions to the freezing of funds and economic resources (art. 2); updates the list of targeted persons and entities (annexes II and III).

[Council Decision 2009/599/CFSP](#)

updates the list of targeted persons and entities (annex).

[Common Position 2009/573/CFSP](#)

additional embargo on certain goods and technology which could contribute to DPRK's nuclear-related, ballistic missile-related or other weapons of mass destruction-related programmes (art. 1); ban on new commitments for grants, financial assistance and concessional loans to the DPRK (art. 2, para. 1); ban on public provided financial support for trade where such support could contribute to DPRK's nuclear-related, ballistic missile-related or other weapons of mass destruction-related programmes (art. 2, para. 2); amendment of the provision concerning restrictions on admission (art. 3 and annexes I, II, III); amendment of the provision concerning freezing of funds and economic resources (art. 4 and annexes IV, V); enhanced monitoring of the activities of EU financial institutions with banks domiciled in DPRK and their subsidiaries, branches and other financial entities outside DPRK (art. 5); inspection of and prior information requirement on cargoes to and from DPRK (art. 6, para. 1, 2, 3, 4); ban on provision of bunkering and ship supply services (art. 6, para. 6); measures to prevent certain specialised teaching or training (art. 7).

[Council Regulation \(EC\) No. 329/2007](#)

ban on exports of certain goods and technology listed by the UN art. 2, para.1); ban on import and purchase of goods and technology listed by the UN (art. 2, para. 3); ban on exports of luxury goods (art. 4); ban on provision of certain services (art. 3); freezing of funds and economic resources (art. 6).

Amended by:

Council Regulation (EC) No. 1283/2009

additional ban on exports and imports of certain goods and technology which could contribute to DPRK's nuclear-related, ballistic missile-related or other weapons of mass destruction-related programmes (art. 2); prior information requirement on cargoes to and from DPRK (art. 4, para. 1); ban on provision to certain DPRK vessels of bunkering and ship supply services (art. 4, para. 2); measures to be applied by EU credit and financial institutions to exercise vigilance over their activities with banks domiciled in DPRK and their subsidiaries, branches and other financial entities outside DPRK (art. 5, para. 2); amendment of the provision concerning freezing of funds and economic resources (art. 9); amendment of Commission empowerment (art. 11); lists of goods and technology subject to ban on exports and imports (other than luxury goods, annexes I and IA); list of targeted persons, entities and bodies (freezing of funds and economic resources, annexes IV and V).

Commission Regulation (EC) No. 117/2008

list of goods and technology subject to ban on exports and imports (other than luxury goods) (art. 1, para.1); information on competent authorities (art. 1, para. 2).

❖ EXEMPTIONS

United Nations

for the restrictions on admission: para. 10 of UNSCR 1718 (2006);

for the freezing of funds and economic resources para. 9, 10 of UNSCR 1718 (2006).

European Union

for the arms embargo: art. 3, para. 2, art. 5 of Reg. (EC) No. 329/2007;

for the restrictions on admission: art. 3, para. 2, 3, 4 of CP 2006/795/CFSP;

for the freezing of funds and economic resources: art. 4, para. 4 and 5 of CP 2006/795/CFSP, art. 7, 8, 9 of Reg. (EC) No. 329/2007; art. 2 of Council Decision 2009/1002/CFSP;

for the ban on export of luxury goods: art. 5 of Reg. (EC) No. 329/2007.

